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# On free-hand TV control: experimental results on user-elicited gestures with Leap Motion

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Abstract We present insights from a gesture elicitation study conducted for TV control, during which 18 participants contributed gesture commands and rated the execution difficulty and recall likeliness of free-hand gestures for 21 television control tasks. Our study complements previous work on gesture interaction design for the TV set with the first exploration of fine-grained resolution 3-D finger movements and hand gestures. We report lower agreement rates than previous gesture studies (AR = .158) with 72.8 % recall rate and 15.8 % false positives, results that are explained by the complexity and variability of unconstrained finger and hand gestures. However, our observations also confirm previous findings, such as people preferring related gestures for dichotomous tasks and more disagreement occurring for abstract tasks, such as "open browser" or "show the list of channels" for our specific TV scenario. To reach a better understanding of our participants' preferences for articulating finger and hand gestures, we defined five measures for Leap Motion gestures, such as gesture volume and finger-to-palm distance, which we employed to evaluate gestures performed by our participants. We also contribute a set of guidelines for practitioners

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<sup>1</sup> Department of Computer Science, Electronics, and Automation and Integrated Center for Research, Development, and Innovation in Advanced Materials, Nanotechnologies, and Distributed Systems for Fabrication and Control (MANSiD), University Stefan cel Mare of Suceava, 720229 Suceava, Romania interested in designing free-hand gestures for interactive TV scenarios involving similar gesture acquisition technology. We release our dataset consisting in 378 Leap Motion gestures described by fingertips position, direction, and velocity coordinates to foster further studies in the community. This first exploration of viewers' preferences for fine-grained resolution free-hand gestures for TV control represents one more step toward designing *low-effort gesture interfaces for lean-back interaction* with the TV set.

**Keywords** Gesture interfaces · Interactive TV · Leap Motion · Gestures · Elicitation study · Recall likeliness · Gesture analysis · Gesture measures · Agreement rate · Agreement analysis · Home entertainment · User-defined gestures · Free-hand gestures

# **1** Introduction

Television represents a valuable component in our lives, not only for delivering information and entertainment [14, 15], but also for creating the premises for enriched social interaction [2, 3, 12]. Television technology, content type, and content accessibility have evolved considerably over recent years. For example, we are currently witnessing inhabited, interactive, and Internet television systems [2, 4, 15, 36, 38] that are augmented by audio surround systems, ambient effects [39, 52], and second-screen devices [7]. However, the control device to interact with the TV set has remained virtually unchanged as we still control television today with the standard remote control. However, in the context in which researchers understand television and work with television concepts converging to interactivity [6], better designs of input devices and new interaction techniques are required for TV control.

We are interested in this work in understanding people's preferences for interacting with television via free-hand gestures. Although this topic has already received significant attention from both research and industry [5, 11, 16, 17, 21, 41, 47, 49, 50, 60], we address a different angle and technology for low-effort gestures. This work represents an extension of the previous investigation of Vatavu and Zaiți [53] on free-hand gestures elicited with the Leap Motion controller [27]; see Fig. 1. In this work, we reach a better understanding of viewers' preferences for short-range hand pose and 3-D finger movements for TV control by analyzing agreement results with a new methodology [55] and by evaluating gesture performance with new measures. This way, we provide more insights on people's preferences and use of fine-grained finger gestures for television user interfaces that complements existing research on designing general gesture interaction for TV control [5, 11, 17, 21, 49, 60].

Our contributions are as follows: (1) we collect people's gesture preferences for interacting with the TV set using 3-D finger movements and hand poses that we acquire with the Leap Motion controller (we refer to such gestures as *leap gestures* in this work); (2) we report agreement results [55] for our participants' gesture proposals, and we select representative gestures for controlling 21 functions of the TV set, such as go to previous and next channel, but more abstract tasks as well, such as show the list of channels and open the Internet browser; (3) we introduce a set of five gesture measures to characterize the spatial and kinematic properties of leap gestures, such as gesture volume, gesture length, and finger-to-palm distance; (4) we contribute a set of design guidelines for gesture interfaces for the TV set; (5) we release our Leap Motion gesture dataset composed of 378 distinct samples collected from 18 participants together with companion software that reads the dataset



Fig. 1 Experimental setup for eliciting Leap Motion gestures for free-hand TV control [53]: participants perform free-hand gestures in the small space above the Leap Motion controller while watching content displayed on the TV screen

and computes our measures. At the moment of writing this article, our dataset is the only publicly available dataset of Leap Motion gestures and one of the very few gesture datasets for research on interactive TV. Therefore, we hope that these resources will be useful to the community to foster new developments for free-hand gestures for TV control. In the long run, our exploration and contributions presented in this work are first steps toward designing *low-effort free-hand gestures for lean-back control* of the interactive TV set.

### 2 Related work

We position our research investigation in the large body of work on designing gestural interfaces for the interactive TV. Gesture interaction design for TV has been a very attractive topic in both academia [5, 11, 13, 19, 21, 25, 41, 49, 60] and industry [29, 40, 44] and has generated a large body of work to understand people's preferences for gesture interaction [22, 24, 28, 33-35, 43, 55, 58]. In this context, we want to understand the use of fine-grained finger movements and hand poses for executing functions on the TV set. Such gesture types have not been explored yet for the interactive TV context, probably because of the lack of accessible technology to capture such gestures. However, the dexterity and multi-functionality of the human hand has been thoroughly studied in psychology [20], which justifies a focused study of fine-grained gestures for the interactive TV. We believe that such gesture types are more suited than large whole-body movements [49, 51, 60] in the context of *lean-back* versus *lean-for*ward paradigms of interacting with TV.

The experimental study reported in this work connects to previous gesture interface designs for the interactive TV. For example, Freeman and Weissman [17] proposed the first TV gesture interface that mapped the viewer's hand movements to a cursor displayed on the TV screen; Bobeth et al. [5] investigated the way older adults employ free-hand gestures to execute tasks on TV; Vatavu [50, 52] introduced augmented TV spaces for the control of which pointing gestures were employed; Dias et al. [13] were interested in designing gesture interfaces for specific applications running on TV; and Vatavu [56] examined audience kinesics in the form of body silhouettes captured by depth sensors and shared synchronously among remote TV viewers in order to enhance the user experience of social television. The PalmRC prototype of Dezfuli et al. [11] also approaches our rationale for exploring low-effort short-range gestures for TV. PalmRC leverages the palm of the user's hand as a supporting surface for finger touches to enable eyes-free control of the TV set.

In this work, we conduct a gesture elicitation study that implements the guessability methodology of Wobbrock et al. [59]. This methodology has been successfully applied for eliciting gesture commands for various application domains [24, 25, 34, 35, 43, 58] including gestures for the interactive TV [49, 51, 60]. For example, Vatavu [49] collected and analyzed free-hand and whole-body gestures captured with the Microsoft Kinect sensor in what constituted the first gesture elicitation study for the interactive TV. Vatavu [49] reported an average agreement rate of .415 for 12 standard functions on TV, which was computed from gestures collected from 12 participants. A follow-up exploration [51] extended the initial findings with more discussion and analysis of people's preferences for freehand gestures versus TV remote controls. The study examined 22 functions for multi-screen entertainment systems, for which gesture commands were collected from 20 participants using the Microsoft Kinect sensor and an augmented remote control. Agreement rate analysis showed an average .430 agreement for remote gestures and .330 for whole-body gestures [51]. In another work, Vatavu [52] explored people's preferences for remote gestures to control television content spanning a physical-digital space in the implementation of the Around-TV prototype for augmented television. Wu and Wang [60] also collected user-defined hand and body gestures for TV control. In this work, we conduct a gesture elicitation study that addresses free-hand gestures captured using the Leap Motion controller, gestures that we analyze using the new agreement rate formula and methodology of Vatavu and Wobbrock [55].

Beyond this body of work on gesture elicitation and designing gesture interfaces for the TV, we believe there is much opportunity in leveraging the fine-grained movements of fingers for low-effort lean-back interaction with the TV set. To this end, we focus in this work on shortrange finger movements and hand poses performed in a small volume of space. We capture such gestures with the Leap Motion controller [27], an acquisition device that provides a large amount of fingertip data, i.e., position and velocity coordinates at 200 frames per second for up to 10 fingers with precision of 0.01 mm. Such features attracted the attention of the research community toward this device due to the many opportunities for designing interactive applications; see [1, 8, 9, 18, 30, 32, 45]. The Leap Motion controller can also been used in combination with other gesture capture technologies, see [32, 45], to increase the acquisition range of users' gesture input. In this work, we employ the Leap Motion controller to collect short-range finger and hand gestures, a category of gestures that has been left unexplored so far for the interactive TV. We believe that such gesture types are likely to represent an optimal choice for lean-back TV control in the line of simple eyes-free alternatives to the TV remote control [11] and toward simple and efficient interaction with multiscreen TV systems [50, 52, 54, 57].

#### **3** Experiment

We conducted a gesture elicitation experiment [10, 55, 58, 59] to collect people's preferences for Leap Motion gesture commands in the context of the interactive TV.

#### 3.1 Participants

Eighteen (18) volunteers (four females) participated in our study. Mean age was 25.0 years (SD = 3.1). All participants were right handed. Ten participants had no previous experience with 3-D gesture interfaces and the other eight had used occasionally Nintendo Wii or Microsoft Kinect controllers for video games.

#### 3.2 Apparatus

A 40-in. (102 cm) Sony TV and a Leap Motion controller were connected to a computer running Microsoft Windows 8.1 and our custom gesture acquisition software that implemented the experiment design. The Leap Motion controller [27] is a 3-D tracking device that detects and tracks targets with a precision up to 0.01 mm in a 3-D space of  $0.23 \text{ m}^3$  with a field of view of 150 degrees. The Leap Motion controller reports data in the form of position, direction, and velocity coordinates for up to 10 fingers at a rate of 200 fps [27]. The controller was conveniently placed for our participants at comfortable arm reach; see Fig. 1 for the experiment setup.

#### 3.3 Referents

We selected 21 functions (called from now on "referents" according to the terminology employed in [55, 58]) that represent common tasks to execute during television watching, e.g., change channels and adjust volume, but we also considered new functions recently made available on Smart TVs, e.g., open the web browser. Referents were divided into four categories: (1) nine basic TV commands (the BASIC category): open, close, go to next and previous channel, volume adjustments, and menu commands; (2) three generic commands (GENERIC): yes, no, and ask the system for help; (3) six channel commands (QUICK-CHAN-NEL): go to favorite and second favorite channels, access a random channel, go back to the last channel, and go to specific channels identified by their numbers; and (4) three feature-related commands (TV-FEATURE), such as show the TV guide, show the list of all channels, and open the web browser. Table 1 lists all the 21 referents employed in our Table 1 Set of referents used for the gesture elicitation experiment

No	Referent	Description
BASIC	c referents (9)	
1	Open	Open the TV set
2	Close	Close the TV set
3	Next	Go to next channel
4	Previous	Go to previous channel
5	Volume up	Increase sound volume
6	Volume down	Decrease sound volume
7	Volume mute	Turn off volume
8	Open menu	Open a generic contextual menu
9	Hide menu	Hide/close the contextual menu
Gene	RIC referents (3)	
10	Help	Ask system for help (e.g., show the Help screen)
11	Yes	Enter affirmative answer to a system elicited Yes or No question
12	No	Enter negative answer to a system elicited Yes or No question
QUICH	K-CHANNEL referents (6)	
13	Go to favorite channel	Quick access to user's favorite channel
14	Go to 2nd favorite channel	Quick access to user's second favorite channel
15	Go to random channel	Have the TV choose a channel to watch, at random
16	Go to channel 7	Quick access to channel number 7
17	Go to channel 27	Quick access to channel number 27
20	Last channel	Quick access to the last channel that the user watched
TV-F	FEATURE referents (3)	
18	TV Guide	Open the TV guide

Show the list of available TV channels

Open web browser

study. Our set of referents is similar to those used in previous gesture elicitation studies, e.g., Vatavu [49] employed 12 referents (our BASIC and GENERIC categories); Wu et al. [60] used 18 referents (out of which 9 are included in our BASIC category-Wu et al. [60] focus more on content play, such as the "fast forward" or "play song" functions); and Morris [35] used 15 referents in a study focused on the content displayed in a web browser. While we relied on these previous studies to inform our set of referents, we also considered new referents specific for television watching. For example, we included functions to gain quick access to important channels, i.e., the favorite channel, but also two referents to help us understand how participants prefer to refer to channel numbers using hand gestures, i.e., "Go to channel 7" and "Go to channel 27".

18 19

21

Show channels list

Open browser

# 3.4 Task

Participants were seated in a comfortable chair at approximately 2 m from the TV set. The experimenter was present for the entire duration of the study with the role to introduce participants to the features of the Leap Motion controller during the training session and to supervise the data collection procedure during the actual elicitation experiment. Before running the study, participants were given some time to familiarize themselves with the equipment and to discover its active sensing area, i.e., the 3-D volume above the device in which the hand is detectable by the device. During this stage, the Leap Motion visualizer was active on the TV screen (see Fig. 1) so participants could observe not only the tracking capabilities, but also the limitations of the Leap Motion controller.

Each referent was presented to each participant using a text message displayed on the TV screen, and participants were asked to propose a suitable gesture command for that referent. Participants took as much time as they needed to propose gestures. Once they were confident about their gesture proposals, gestures were recorded by the Leap Motion controller and annotated by our software. The experimenter noted down the execution details of each gesture, e.g., "the participant hold the palm facing down and rotated the arm around its own axis to hold the open palm facing up".

Referents were presented in a random order with 21 trials, one trial per referent. At the end of the experiment, participants filled in a questionnaire in which they went through all the referents one more time and tried to recall their own gesture proposals. For each gesture, participants rated the degree to which their gestures fit the referents using scores ranging from 1—"no fit at all" to 5—"very well fit". During this process, participants were also asked to perform the gesture one more time so that the experimenter could also rate how easily they were able to remember their own gestures, which he did on a 5-point Likert scale with 1 denoting "immediate recall" and 5 "no recall". The experimenter's evaluation on whether a gesture was correctly replayed by the participant was informed by his notes taken just before. If participants were not able to recall their gestures for some referent, they were allowed to think of a new one. Participants also rated their likeliness to remember gestures with a score ranging from 1 denoting "very easy to remember" to 5-"very difficult". They also rated on 5-point Likert scales whether they preferred the proposed gesture or a TV remote button (a TV remote was available for participants to consult at this stage). The experiment took on average 35 min per participant.

#### 4 Results

#### 4.1 Agreement between participants

We measured the degree of agreement between our participants' gesture proposals by calculating individual agreement rates [55, 58, 59] for each referent with the agreement rate formula of Vatavu and Wobbrock [55]:

$$\mathcal{AR}(r) = \frac{|P|}{|P| - 1} \sum_{P_i \subseteq P} \left(\frac{|P_i|}{|P|}\right)^2 - \frac{1}{|P| - 1} \tag{1}$$

where r is the referent for which agreement is computed and  $P_i$  represent subsets of identical or similar gesture proposals from the entire set of gestures collected from our |P| = 18 participants. Agreement rates vary between 0 (corresponding to the case in which every participant proposed a distinct gesture for the same referent r) and 1 (perfect consensus between participants, all suggesting the same gesture for a given referent r). We refer the reader to Vatavu and Wobbrock [55] for more details about the agreement rate formula as well as detailed run-through examples of agreement rate analysis. We also measure consensus between participants with Kendall's W coefficient of concordance [23], which is a normalization of the Friedman statistic used to assess the agreement between multiple raters with a number ranging between 0 (no agreement at all) and 1 (perfect agreement):

$$W = \frac{12 \sum_{i=1}^{|R|} (R_i - \overline{R})^2}{|P|^2 (|R|^3 - |R|)}$$
(2)

where |P| is the number of participants and |R| the number of referents, i.e., |P| = 18 and |R| = 21 for this study,  $R_i$ represents the total rank of referent *i* and  $\overline{R}$  the mean of all ranks  $R_i$ .

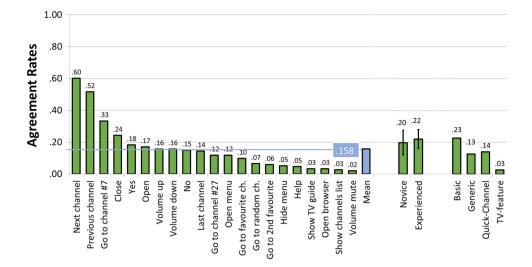
We found a mean agreement rate of .158 (SD = .063) across all referents, see Fig. 2a. This small value for agreement was confirmed with Kendall's *W* coefficient that was .254 ( $\chi^2(20) = 91.439, p < .001$ ). As Kendall's coefficient is related to the average of Spearman rank correlation coefficients between pairs of rankings [23] (p. 276), we can interpret the magnitude of its effect as medium according to Cohen's suggested limits for appreciating effect size, i.e., the value of *W* is less than .300, but greater than .100. Agreement analysis with the  $V_{rd}$  test statistic of Vatavu and Wobbrock [55] showed a significant effect of referent type on agreement rates ( $V_{rd(20,N=378)} = 560.973$ , p < .001).

The highest agreement rate was obtained for the "Next" and "Previous channel" referents (.601 and .516, respectively), for which most participants proposed hand movements to the left and right. We detected a significant difference between the two rates  $(V_{rd(1,N=36)} =$ 4.568, p < .05) and computed a coagreement of .438 showing that 67 pairs out of all the  $18 \times 17/2$  pairs of participants were in agreement for both these referents. The lowest agreement was obtained for abstract tasks, such as "Open browser", "Show channels list", and "Volume mute" (Fig. 2a) that scored agreement rates of .033, .026, and .020, respectively. "Volume mute" was the only referent for which the agreement rate was not significantly greater than zero  $(V_{rd(1,N=36)} = 3.000, n.s.)$ . Figure 2c shows the average agreement rates computed for each of the four categories of referents. The highest agreement rate was .225 for the BASIC category (Kendall's  $W = .310, \chi^2(8) = 44.584, p < .001$ , followed by .140 for QUICK-CHANNEL ( $W = .161, \chi^2(5) = 14.504, p < .05$ ), .126 for GENERIC ( $W = .082 \chi^2(2) = 2.943, n.s.$ ), and .026 for TV-FEATURE ( $W = .094, \chi^2(2) = 3.391, n.s.$ ). These results are explained by the fact that the BASIC category includes referents with embedded scale range information (e.g., concepts such as up and down, next and previous, etc.) for which users are more likely to reach agreement, while the TV-FEATURE category includes abstract tasks. For reference, we list all participants' gesture proposals for the entire set of 21 referents under the "Appendix" section.

#### 4.2 Experienced versus novice users

Eight (8) participants had previously used gestures for video games. To understand the effect of previous experience on consensus, we calculated agreement rates

Fig. 2 Agreement rates computed using the formula of Vatavu and Wobbrock [55] for all the 21 referents in our set (**a**), comparison between novice and experienced users (**b**), and comparison between various categories of referents (**c**)



distinctly for the two groups. Results showed higher agreement for the experienced group, .220 versus .197, see Fig. 2b, but a Mann–Whitney test did not detect any significant difference (U = 172.000, Z = -1.223, n.s), showing that previous practice with free-hand and body gestures from other application domains, such as gaming, had no influence on participants' consensus for our specific scenario for TV set control.

# 4.3 Relationship between agreement rates and thinking time

Our participants spent in average 20.5 s (SD = 5.0 s) to figure out suitable gesture commands for each referent. We found a significant negative correlation between agreement rates and thinking time (Pearson's  $r_{(N=21)} = -.550$ , p = .01); see Fig. 3. This result is surprising, because it shows that the more time participants took to think about potential gesture commands, the less agreement resulted in the end. This result can be interpreted in two ways. First, we believe that the more time participants allocated to the task, the more creative they wanted to be and, consequently, they produced gesture commands less likely to be proposed by others. Second, participants' first gesture choice (i.e., the gesture choice after a minimum thinking time) was likely to be discovered by other participants as well, probably due to some internal mechanism of understanding referents, e.g., move hand to left and right for moving to next or previous items in a list.

#### 4.4 Gesture goodness

Participants used a 5-point Likert scale to rate how fit their gesture proposals were for each referent (i.e., gesture goodness), with 1 denoting a gesture command "no fit at

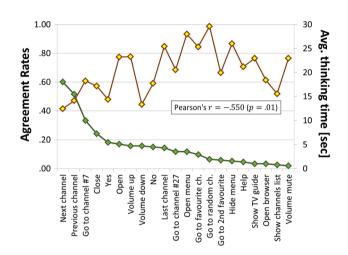


Fig. 3 Correlation between agreement rates and average thinking times, Pearson's  $r_{(N=21)} = -.550$ , significant at p = .01

all" to its referent, 2—"less fit", 3—"moderate", 4— "good fit", and 5—"very good fit". Overall, the median rating was 4, which shows good confidence of our participants in the gestures they proposed. A Friedman test revealed a significant effect of referent type on self-reported goodness ( $\chi^2(20) = 67.761, p < .001$ ). Four commands were rated "very well fit" (maximum on our scale): "Next channel", "Previous channel", "Volume up," and "Volume down", while the referents with the lowest ratings (3—"moderate fit") were "Go to favorite", "Go to 2nd favorite channel", "Volume mute", "Open browser", and "TV guide".

### 4.5 Preference for gestures versus the TV remote

Participants rated their preferences about using gesture commands or remote controls using an 11-point Likert scale with values from 5 to 0 and then back to 5 with the

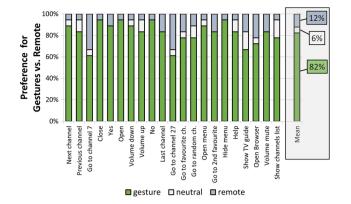


Fig. 4 Participants' self-reported preferences for using leap gestures versus the TV remote control, shown as percentages

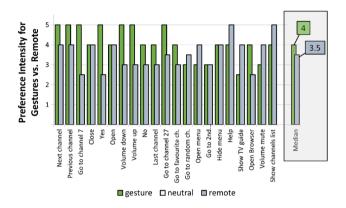


Fig. 5 Participants' self-reported preferences for using leap gestures versus the TV remote control, shown as intensity of the preference

left-most 5 levels encoding their preferences for gestures, 0 a neutral state, and the right-most 5 levels encoding preferences for the remote control. Results were in favor of gestures that were preferred in 82 % of all ratings versus 12 % for the remote, while 6 % were neutral responses; see Fig. 4. The intensity of the preferences measured on another 5-point scale showed a median score of 4 for gestures and 3.5 for the remote control; see Fig. 5.

### 4.6 Recall rate

Participants were asked how easy they found recalling gestures they had just proposed (i.e., recall likeliness), which they answered using a 5-point Likert scale ranging from 1—"very easy" to 5—"very difficult". The median rating across all participants and referents was 3 corresponding to "moderate difficulty". At the same time, participants had to perform each gesture once more and the experimenter observed their reaction time, which he rated on a 5-point Likert scale with 1 corresponding to "instantaneous recall" and 5 being "no recall at all". The

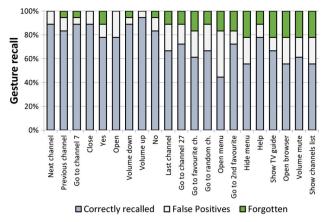


Fig. 6 Gesture recall results. NOTE: referents are listed in descending order of their agreement rate

experimenter's median rating was 1 as the majority of the participants recalled their gestures immediately. However, when analyzing this data further, we found that only 72.8 % of the participants' replays of gestures were correct (out of  $18 \times 21 = 378$  gestures), while in 11.4 % of all cases, participants could not remember their gesture proposals and in 15.8 % cases they "recalled" the wrong gesture – a gesture that we refer to as a false positive; see Fig. 6.

A Friedman test showed a significant effect of referent type on the experimenter's rating ( $\chi^2(20) = 53.391$ , p < .001). The referents for which gestures were recalled with the highest accuracy were "Next channel" and "Volume up", while the lowest recall rates occurred for "Open menu" and "Open browser"; see Fig. 6. We also found a significant Pearson correlation between agreement rates and recall likeliness ( $r_{(N=18)} = .618, p = .01$ ), showing that gestures with large consensus are also more likely to be recalled easier.

### 5 Gesture set

We collected 378 gestures (=18 participants  $\times$  21 referents) with corresponding fit-to-function ratings. Based on the agreement rate results (see Fig. 2), we assigned each referent with the gesture that received the highest agreement [58]. For references with low or no consensus at all, we selected for the gesture set one of the participants' gesture proposals that we believed best matched the referent based on our previous experience in gesture interface design. Results are listed in the "Appendix" at the end of this paper. Please note that this gesture set is by no means the definite set of gestures to use in all interactive TV applications. Its main goal is rather to inspire gesture interface designs for the TV rather than to act as a standard. For example, practitioners may opt for a combination of fine-grained finger movements and large arm gestures as in

previous work [49, 51, 60], in which case they would use only some of our findings. All the gestures that we collected are available for download at the web address http:// www.eed.usv.ro/ $\sim$ vatavu to enable further studies on gestures for the interactive TV.

#### 6 Measures for analyzing Leap Motion gestures

In this section, we define measures to analyze finger and hand gestures captured with the Leap Motion controller. During the training stage of the experiment, participants were encouraged to explore the interactive space of the controller, which is about  $0.23 \text{ m}^3$ . We wanted to know how much of this volume was actually used by our participants when performing gestures as well as the amount of motion produced by fingers and hands during gesture articulation. To this end, we proposed a set of five features that we used to characterize the spatial and kinematic variations of leap gestures.

## 6.1 Gesture volume

We define the volume of a gesture as the volume of the bounding box of all fingertips and palm positions detected by the Leap Motion controller during the execution of that gesture, which we express in physical units, i.e., cm<sup>3</sup>. Figure 7 illustrates average gesture volumes for all referents. GESTURE-VOLUME can be interpreted as the spatial effort to execute the gesture.

We found a significant effect of referent type on GES-TURE-VOLUME ( $\chi^2(20) = 74.219, p < .001$ ). The average volume of all gestures for all referents was 11,277 cm<sup>3</sup> (SD = 17,239). The top 10 % largest volumes were in the range of 13 and 48 % of the total volume tracked by the Leap Motion controller. These results indicate a high propensity

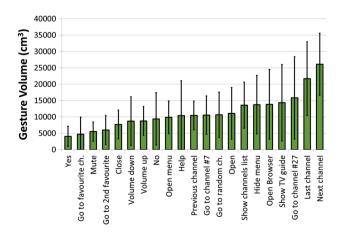


Fig. 7 Average GESTURE-VOLUME values for each referent. NOTE: referents are listed in ascending order of their average volumes; error bars show 95 % CIs

of users toward low-volume gestures, reflective of loweffort movements of the hand and fingers. We also found gestures with volumes smaller than 1 cm<sup>3</sup>, for which the hand and fingers were kept relatively stable in mid-air. These gestures were mostly symbolic, such as the peace sign or the "OK" sign.

Dichotomous referents had similar GESTURE-VOLUME values, an exception being "Previous" and "Next", for which the average difference was 15,626 cm<sup>3</sup>. Whereas the suggested gestures for the two referents are similar (i.e., the hand moves from left to right and from right to left), they are different in how participants articulated them, i.e., movements for "Next" were more ample.

During the experiment, we observed that participants had a tendency to work in a 2-D plane rather than to use the 3-D space enabled by the Leap Motion controller. To find out more, we computed for each gesture the degree by which it varies along each of the x, y, and z axis; see Fig. 8. The figure shows three columns (one for each axis) for each gesture proposed by each participant that encode the variation of that gesture on each axis. The axis with the largest variation is always shown in black color and full height, while the axis with the smallest variation is always white and 30 % of the cell's height. The color and height of the remaining axis are interpolated values between the minimum and maximum variations on the previous two axes. Note how the middle variation tends to stay close to one of the extremes. Actually, we found that the middle axis value was either in the bottom or the top third of the interval between the lowest and highest variations for 67 % of all the gestures, a finding that confirms quantitatively our observations that users prefer to articulate gestures in a 2-D plane.

### 6.2 Gesture length

We define the centroid of the hand as the average coordinate of data acquired from the Leap Motion controller. GESTURE-LENGTH is defined as the path length of the trajectory of the centroid of the hand during gesture articulation, which we compute as the sum of Euclidean distances between data retrieved at consecutive timestamps. We found a significant effect of referent type on GESTURE-LENGTH ( $\chi^2(20) = 41.933, p < .005$ ). The average length was 75 cm (SD = 64.8); see Fig. 9. We also found a significant positive correlation between gesture volume and length (Pearson's  $r_{(N=21)} = .653, p = .05$ ).

#### 6.3 Finger count

We define FINGER-COUNT as the average number of fingers employed during gesture execution that were tracked by

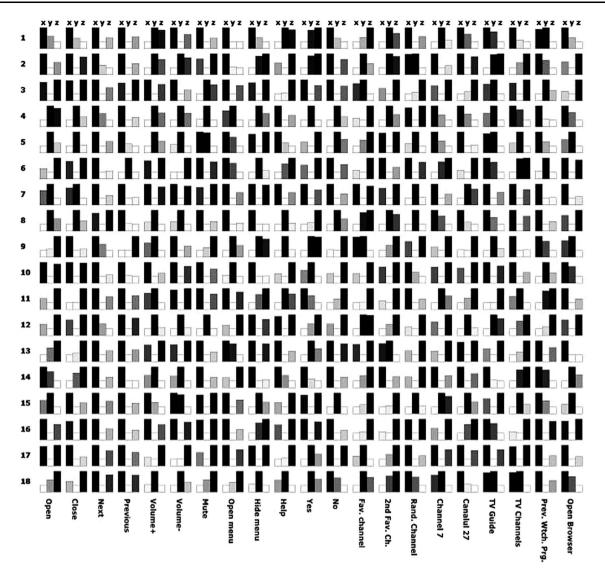


Fig. 8 Variations on the x, y, and z axes for each gesture performed by each participant. The *white column* shows the lowest variation and the black column shows the highest variation

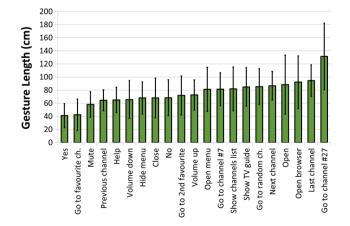


Fig. 9 Average GESTURE-LENGTH values for each referent. NOTE: referents are listed in ascending order of their average lengths; error bars show 95 % CIs

the Leap Motion controller. The average FINGER-COUNT was 1.68 (SD = 1.13); see Fig. 10. We did not detect any significant effect of referent type on FINGER-COUNT ( $\chi^2(20) = 22.444$ , *n.s.* at p = .01). The low values of FINGER-COUNT are partly explained by participants' tendency to work in a 2-D plane following a model of touch screen interaction, for which they mostly employed the index finger, e.g., when drawing symbols or letters to nominate a referent. We also observed mid-air equivalents for touch gestures, such as directional swipes and pinch gestures used to increment or decrement values similarly to zooming in and out on touch screens. Participants also employed cultural gestures, such as the "peace" sign or the "OK" sign. Low FINGER-COUNT values are also explained by the limitations of the Leap Motion controller not able to handle

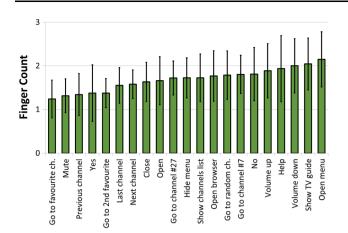


Fig. 10 Average FINGER-COUNT values for each referent. NOTE: referents are listed in ascending order of their average finger count; error bars show 95 % CIs

occlusions. For instance, the "OK" sign had the thumb finger hidden by the fist.

#### 6.4 Finger-to-palm distance

The Leap Motion controller delivers the palm position for the hand and fingertip positions for all detected fingers. We define the FINGER-TO-PALM distance as the average variation of distances between fingertips and the palm during gesture articulation:

$$\delta(g) = \frac{1}{n} \left( \sum_{i=0}^{n-1} \frac{1}{NF_i} \sum_{j=0}^{NF_i - 1} \|f_j - P\| \right)$$
(3)

where *g* denotes the gesture, *n* the number of frames that were collected from the Leap Motion controller during the articulation of  $g, NF_i$  the number of fingers detected in frame *i*, and  $\|\cdot\|$  denotes the Euclidean distance between two points in 3-D. A similar measure was used in medicine as an outcome of clinical trials [46].

The average FINGER-TO-PALM distance was 9.4 cm (SD = 3.5); see Fig. 11. We did not detect any significant effect of referent type ( $\chi^2(20) = 15.708, n.s.$  at p = .01). We believe this is because our participants employed similar motion patterns for fingers based on a model of touch screen interaction, which resulted in only minor variations in the values reported by the FINGER-TO-PALM measure.

#### 6.5 Articulation speed

We compute the ARTICULATION-SPEED of a gesture as its length divided by its execution time, which we express in physical units, e.g., cm/s. Similar to GESTURE-VOLUME,

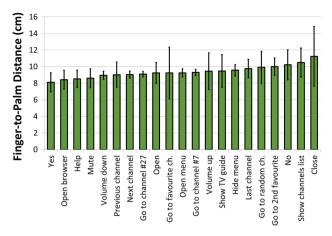


Fig. 11 Average FINGER-TO-PALM values for each referent. NOTE: referents are listed in ascending order of their average finger-to-palm distance; error bars show 95 % CIs

ARTICULATION-SPEED characterizes the participants' effort to execute gestures.

We found a significant effect of referent type on AR-TICULATION-SPEED ( $\chi^2(20) = 47.334, p < .005$ ). The average speed was 52.8 cm/s (SD = 37.3 cm/s); see Fig. 12. Using these results, we can classify gestures into low speed (two referents were assigned gestures with an average speed less than 40 cm/s), medium speed (15 referents had speeds between 40 and 60 cm/s), and high speed (four referents had average speeds faster than 60 cm/s). High-speed gestures involve motions of the hand rather than of fingers (e.g., move the hand from left to right and from right to left, move the hand or fist forward and backward, hand waving, etc.), whereas low-speed gestures employ fingers more (e.g., show the index finger, thumbs-up, perform a

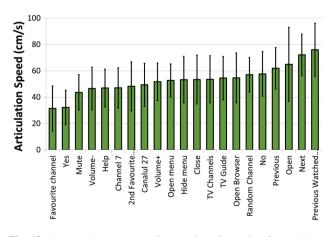


Fig. 12 Average ARTICULATION-SPEED values for each referent. NOTE: referents are listed in ascending order of their articulation speed; error bars show 95 % CIs

click in mid-air, open palm, etc.). However, most referents belong to the medium-speed class (15 out of 21 referents), for which we can identify a mixture of gestures involving both hand and finger motions.

# 7 Implications for the design of hand and finger gestures for TV control

Our quantitative and qualitative results give insights into the way people define, rate, and evaluate, and later recall fine-grained resolution 3-D finger and hand gestures. In this section, we use our findings to provide a number of nine guidelines for practitioners interested in designing TV interfaces employing such gesture types and similar gesture acquisition technology:

- 1. Fine-grained finger and hand gestures are personalized, so user-dependent gesture training is recommended. We found a low agreement rate between our participants' gesture proposals (average AR = .158). We also found an overwhelming preference for gesture commands instead of the TV remote control: in 82 % of all responses, participants preferred gestures over the remote. The result is surprising given the low agreement rate that we found earlier. However, this finding shows that finger gestures tend to be highly personalized and that user-dependent training is needed in order to avoid poorly designed interfaces with unintuitive mappings between gestures and functions [31, 37].
- Users fall back on previously acquired gesture inter-2. action models, such as touch screen interactiontherefore, such expertise should be exploited for midair gesture input as well. During the experiment, we observed an interesting behavior when participants started to think about gesture commands. When having to execute a more difficult task, our participants proposed gestures using a strategy that appeared as iterative design, which they followed through until they reached a simple and familiar gesture for that referent. For example, participants sometimes noted the similarity of the gesture they proposed with some touch screen gesture, such as mid-air directional movements for "Next" and "Previous channel" and touch screen directional swipes.
- 3. Users show preference for 2D gestures, so design gestures that can be performed in 2D. We found that our participants mostly employed the 3-D gesturesensing device to articulate 2-D gestures. Most of the gestures we collected can be executed in a 2-D plane without any major loss of detail. For example, directional movements of the hand and drawing letters

and symbols occurred mostly in a vertical plane. For some gestures, participants imagined a 2-D plane above the Leap Motion controller that they used as a support for drawing.

- 4. Users prefer either hand motion or hand poses. To find out more about our participants' gesture preferences, we classified gestures into the four classes of the taxonomy of Vatavu and Pentiuc [48]: simple static (i.e., hand poses), simple dynamic (sequences of hand poses, but no motion), complex static (only motion is important), and complex dynamic (both motion and hand pose are important). For 40 % of our participants' gestures, only motion was relevant, followed by 38 % of hand gestures involving only postures, either static or combinations of postures. Of all gestures, 22 % involved combinations of hand pose and motion. Figure 13 shows the distribution of gestures according to the 4-class taxonomy of Vatavu and Pentiuc [48].
- 5. Users associate gestures and referents in ways that help them maximize recall rate. This behavior was revealed by the recall percentages (see Fig. 6) with similar values for dichotomous gestures. When encountering referents with opposite effects, e.g., "Next" and "Previous channel", "Volume up" and "Volume down", most participants considered that their corresponding gestures should also be similar.
- 6. There is some preference for culture-specific gestures. We observed several gestures with cultural meaning, e.g., thumbs-up, hand wave, fingers closing in the "shut-up" hand gesture, etc. However, cultural gestures that we report in this work are common for Western cultures and they may prove inappropriate for other cultures. Also, the right-to-left and left-to-right movements for "Previous" and "Next channel" are also probably connected with the left-to-right reading order in this culture.

Our findings also confirm results reported in a previous study addressing free-hand and body gestures [49]:

7. Exploit hand pose to distinguish between different commands. Hand pose is important to differentiate

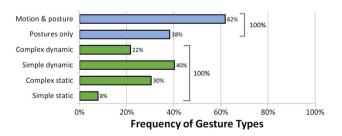


Fig. 13 Frequency distribution of participants' leap gestures according to the taxonomy of Vatavu and Pentiuc [48]

between gestures with similar hand motion. For instance, hand waving was executed by our participants with all fingers to denote "Help", but with only three fingers to mean "Go to the favorite channel". In a previous work, Vatavu [49] also reported the importance of hand pose to differentiate between free-hand gesture commands, an aspect that we were able to observe again in this study.

Users draw letters in mid-air to execute referents that 8 start with those letters. In many cases, participants suggested letters to identify referents, especially abstract ones, such as letter "M" for "Open menu", "G" for "TV Guide", or "B" for "Open browser", etc. Sometimes, there were multiple letter suggestions for the same referent, such as "C" and "L" for "Show channels list". This finding recommends several gesture input techniques previously developed in the literature for other gesture acquisition technologies, such as augmented letters [42] and marking menus [26], for the interactive TV scenario as well. We also observed the use of symbols, such as drawing "@" to open the browser, or the universal quantifier symbol " $\forall$ " to access a random channel. Participants drew digits to specify channels by their numbers. We believe that these results are also explained by our participants' previous experience with touch screen devices on which they produce letters and symbols with stroke gestures.

We also witnessed cases in which participants performed gestures with the support of the non-dominant hand, which approach the idea of the PalmRC prototype of Dezfuli et al. [11]:

9. Make use of concrete or imaginary support surfaces to assist users to articulate mid-air gestures. In some situations, participants employed parts of their hands

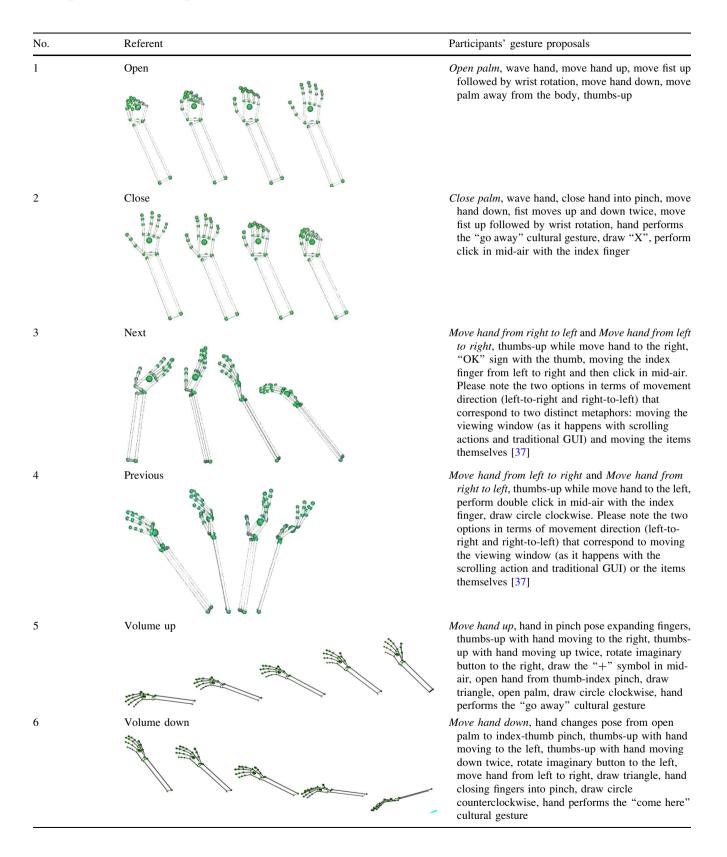
as active sensing areas. In other cases, participants performed gestures in a vertical plane. Yet in other situations, participants imagined a horizontal plane above the Leap Motion controller that they used as a reference for their gestures.

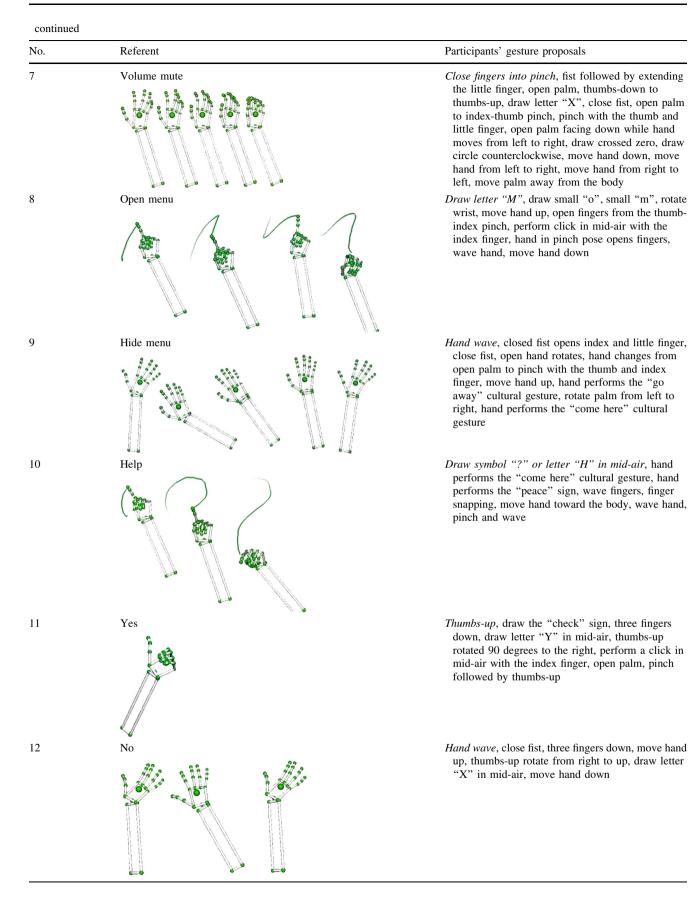
# 8 Conclusion

We presented in this work experimental results of the first study conducted on user-elicited fine-resolution finger and hand pose gestures for controlling the interactive TV. We delivered guidelines for working with such fine-resolution gesture types for iTV scenarios employing a Leap Motion controller or a similar gesture acquisition device. We compared our results with previous studies on free-hand gestures for iTV [49] and complemented their findings. To encourage further exploration of such gesture types in the interactive TV community, including development of recognition and interaction techniques, we make available our user-defined dataset composed of 378 gestures with recorded position, direction, and velocity coordinates for hands and fingers. We hope that this exploration on fine-resolution gestures will attract the community attention toward designing viable gesture alternatives for the remote control in the context of lean-back interaction with television.

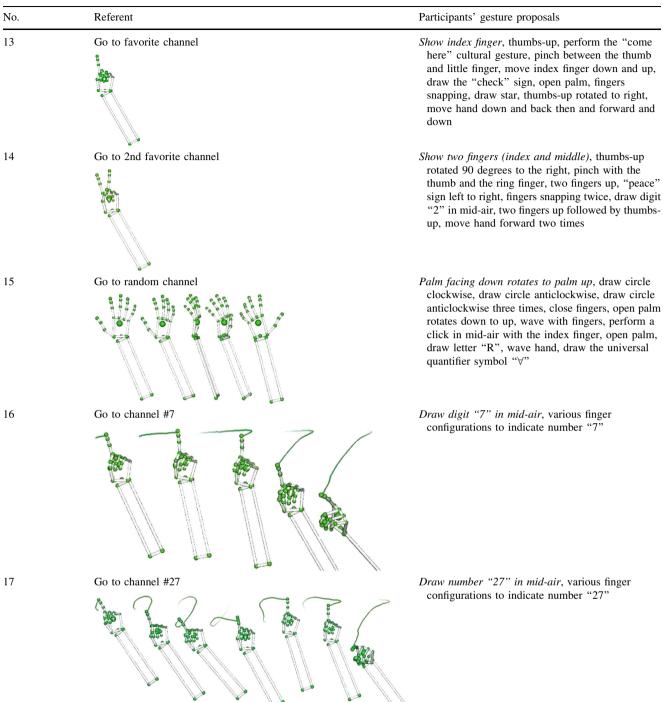
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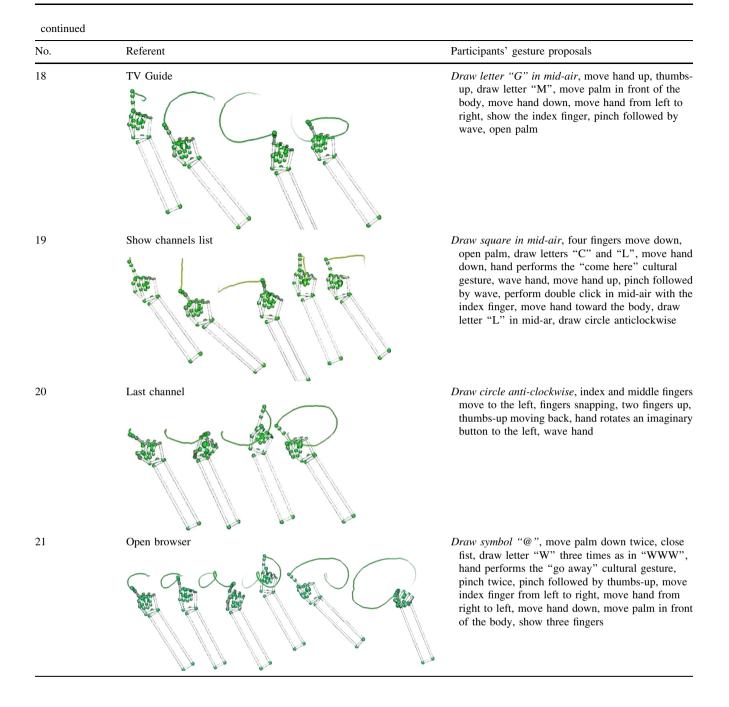
# Appendix: Complete set of gestures collected during the elicitation experiment





continued	





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